

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Confirmation No.: 3512
Shunpei YAMAZAKI et al.)
Application No.: 10/678,299) Examiner: Gene M. Munson
Filed: October 6, 2003) Group Art Unit: 2811
For: ELECTRO-OPTICAL DEVICE AND)
ELECTRONIC DEVICE) Date: October 18, 2006

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Upon further review of the information disclosure statements submitted thus far in this application, Applicants discovered additional documents struck-through by the Examiner, which should be considered.

U.S. Patent No. 5,317,236 was listed in an IDS filed on March 8, 2005, and was crossed-through by the Examiner with the notation "considered via correspond US 5,206,749." However, because U.S. Patent No. 5,317,236 is a continuation-in-part (CIP) of U.S. Patent No. 5,206,749, it is believed the Examiner should have considered the CIP.

U.S. Patent No. 6,794,675, which was listed in the IDS filed on November 4, 2004, has a filing date of March 23, 2000; U.S. Patent No. 6,940,214, which was listed in the IDS filed on November 18, 2005, has a filing date of February 9, 2000; and U.S. Patent No. 6,413,645, which was listed in an IDS filed on March 8, 2005, has a filing date of April 20, 2000. Additionally, JP 2000-077191 has a publication date of 03-14-2000, EP 0 999 595 A2 has a publication date of 05-10-2000, and EP 0 942 407 A1 has a publication date of 09-15-1999. Because Applicants have not relied upon nor perfected the priority filing date of the

priority document, JP 11-158787, filed in Japan on June 4, 1999, in connection with the pending claims of the present application, it is respectfully submitted that the Examiner should consider these listed documents having an intervening filing and publication dates.

U.S. Patent No. 6,573,564, which was listed in the IDS filed on February 24, 2006, was indicated as being considered via U.S. Patent No. 6,407,431. However, this patent indicates the same assignee as the present application. Hence, this patent, including patented claims therein, should be considered.

Additionally, newly listed U.S. Patent No. 6,531,713 was filed on March 17, 2000, and corresponds to JP 2000-340798, which was submitted in an IDS filed on January 20, 2004, and crossed-through by the Examiner. Because U.S. Patent No. 6,531,713 has a filing date before Applicants' May 26, 2000, domestic priority date, it is believed the Examiner should consider this document.

Newly listed U.S. Patent No. 6,863,961 issued from U.S. Application No. 09/101,083, which was listed in the previously submitted IDS dated September 27, 2006. The Examiner is requested to consider U.S. Patent No. 6,863,961 and cross-through the corresponding application listing in the PTO Form 1449 submitted with the September 27, 2006, IDS.

The remaining documents listed correct typographical errors noted in a review of the IDS filed on September 27, 2006. The corrections include changing the publication date for U.S. Patent No. 6,204,535 from "03-30-2001" to "03-20-2001," changing the publication date of U.S. Patent No. 6,413,645 from "07-02-2202" to "07-02-2002," changing "COMPLES" to "COMPLEX" in the title of the cited article by Tsutsui et al., and removing typographical errors in the citations of U.S. Patent Application Nos. 09/580,485 and 09/587,369 and inserting the filing dates for these applications. The Examiner is requested to cross-through the incorrect and/or incomplete citations of these documents in the PTO Form 1449 filed on September 27, 2006.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/678,299
				Filing Date	October 6, 2003
				First Named Inventor	Shunpei YAMAZAKI et al.
				Art Unit	2811
				Examiner Name	Gene M. Munson
Sheet	1	of	1	Attorney Docket Number	740756-2662

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-5,317,236	05-31-1994	Zavracky, et al.	
		US-6,204,535 B1	03-20-2001	Yamazaki et al.	
		US-6,413,645 B1	07-02-2002	Graff et al.	
		US-6,531,713 B1	03-11-2003	Yamazaki	
		US-6,573,564 B2	06-03-2003	Yamazaki et al.	
		US-6,794,675 B1	09-21-2004	Suzuki et al.	
		US-6,863,961 B2	07-08-1998	Miyashita, et al.	
		US-6,940,214 B1	09-06-2005	Komiya et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)		
		JP	2000-077191		Yamada et al.	Abstract
		EP	0 999 595 A2		Yamazaki et al.	Abstract
		EP	0 942 407 A1		Kimura	Full

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		TSUTSUI ET AL., "HIGH QUANUM EFFICIENCY IN ORGANIC LIGHT EMITTING DEVICES WITH IRIIDIUM COMPLEX AS A TRIPLET EMISSIVE CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1502-L1504, December 15, 1999.	
		Specification, claims and drawings of U.S. Application No. 09/580,485, filed on May 30, 2000.	
		Specification, claims and drawings of U.S. Application No. 09/587,369, filed on June 2, 2000.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.